



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2631/4

Applicant: HORST et al.  
Serial No.: 09/291464  
Filed: March 30, 1999  
Title: METHOD AND APPARATUS FOR ASSIGNING ADDRESSES TO COMPONENTS IN A CONTROL SYSTEM

Examiner: PHU, PHUONG M  
Group Art Unit: 2631  
Docket: 07611.0026US01

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8, 2003.

By: A. Ewald

Name: A. Ewald

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Technology Center 2600

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 16 Reference(s)
- ☒ Check(s) in the amount of \$180.00 for filing fee
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Gregory A. Sebold

Name: Gregory A. Sebold

Reg. No. 33,280

GAS/ame



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PATENT TRADEMARK OFFICE

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

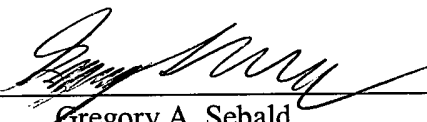
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: Sept. 8, 2003

By   
Gregory A. Sebold  
Reg. No. 33,280

GAS/ame

FORM 1449\*

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

07611.0026US01

Application Number:

09/281464

Applicant: HORST et al.

Filing Date: March 30, 1999

Group Art Unit: 2631

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,815,823	September 1998	Engle			
	5,570,284	October 1996	ROSELLI et al.			
	5,122,948	June 1992	Zapolin			
	6,218,961	April 2001	GROSS et al.			
	5,681,015	October 1997	Kull			
	4,687,258	August 1987	Astley			
	6,314,345	November 2001	Coombes			
	5,746,261	May 1998	Bowling			
	4,529,980	July 1985	LIOTINE et al.			
	5,511,749	April 1996	HORST et al.			
	3,639,755	February 1972	Wrege			
	4,912,463	March 1990	Li			
	5,685,507	November 1997	HORST et al.			
	6,456,674	September 2002	HORST et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 96/36953	November 1996	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Search Report entitled: "Remote Control Systems for Locomotives: Review of Technical and Trade Literature, 1980 Forward" Compiled by Information Works Inc. for Laurie Mitchell, CANAC Inc. May 20, 2003 p. 1-110, bibliography



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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.



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Technology Center 2600 PATENT

IN 09/281464

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By: A Ewald

Name: A. Ewald

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. Enclosed is a check in the amount of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a